

Secure AI Automation, Built Around Your Tech Infrastructure

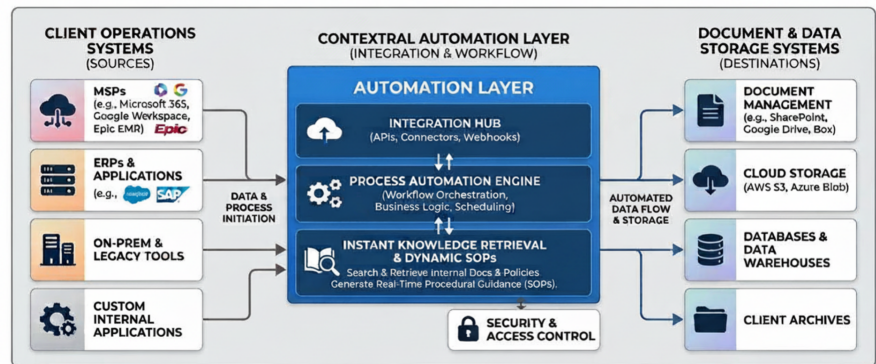
A private automation layer for firms and banks that can't afford to take chances with sensitive data

The Challenge

Financial services firms lose hours to scattered client records, legacy systems that don't talk to each other, and no internal team equipped to modernize safely. SEC, FINRA, and GLBA obligations keep many firms from adopting public AI tools at all, while advisor time and institutional knowledge keep slipping away to manual processes.

How We Fit Into Your Systems

We deploy a private automation layer between the systems you already run and where your client data already lives. No new platform for your team to learn and no compromise on your fiduciary or regulatory obligations.



What We Automate For You

- **Document & records search:** instant retrieval across CRMs, portfolio systems, and legacy tools
- **Workflow automation:** onboarding, KYC/AML checks, scheduling, and process orchestration
- **Compliance & incident reporting:** structured, auditable, and defensible for SEC/FINRA exams
- **Dynamic SOPs:** living playbooks generated from your own documentation
- **Systems integration:** APIs, connectors, and webhooks across your existing infrastructure
- **Secure storage & archiving:** cloud storage, document management, databases, and archives

How We Work With Clients

1. **Discovery & Assessment:** We map how your team works today and where automation saves the most time without adding or taking anything out.
2. **Tailored Design & Engineering:** We use existing tools from your MSP/ERP or deploy our own inside your ecosystem to solve problems. No costly overhaul, no months of implementation.
3. **Private Deployment & Ongoing Support:** We roll out in phases with your team, then stay on as a long-term partner. We don't sell a tool and disappear.

Why Contextral?

Our team specializes in isolation systems and regulated industries, designing every solution without third parties or opaque vendors. We work within your existing tools where possible and deploy our own on-prem/private-cloud systems when compliance demands it, but you always keep full data ownership. That approach helped Newark, NJ's Department of Public Works avoid an estimated \$3–4M in costs through automated reporting and analytics.